

FORM PTO-1390  
(REV. 5-93)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

0136/OK089US0

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)

10/009118

INTERNATIONAL APPLICATION NO.  
PCT/KR00/01173INTERNATIONAL FILING DATE  
October 18, 2000PRIORITY DATE CLAIMED  
April 8, 2000

## TITLE OF INVENTION

A TRANSFORMANT FOR SCREENING OF INHIBITORS FOR HUMAN IMMUNODEFICIENCY VIRUS

APPLICANT(S) FOR DO/EO/US

Hyuk-Jun NAM and Sang-Hern KIM

EXPRESS MAIL CERTIFICATE  
12-6-01 EV004807013-USDate: 12-6-01 Label No. 12-6-01 EV004807013-US  
I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

Applicant herewith submits to the United States Designated/Elected office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S. C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S. C. 371 (b) and PCT Articles 22 and 39 (1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S. C. 371 (c) (2) )
- a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☒ has been transmitted by the International Bureau
- c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S. C. 371 (c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
- a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☒ have been transmitted by the International Bureau.
- c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
- d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c) (3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

## Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (with 3 references and International Search Report).
12. ☒ An assignment document for recording. A **separate** cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney an/or address letter.
16. ☒ Other items or information:
- SEQUENCE LISTING (WRITTEN AND MACHINE-READABLE FORMS) with STATEMENT PURSUANT TO RULE 1.821(f)
  - DECLARATION CONCERNING DEPOSITED MICROORGANISM UNDER BUDAPEST TREATY, WITH DEPOSIT RECEIPT
  - AFFIRMATION OF PRIORITY CLAIM

U.S. APPLICATION NO. (if known sec. 37 C.F.R. 1.50) <b>10/009118</b>	INTERNATIONAL APPLICATION NO.: PCT/KR00/01173	Attorney's Docket Number 0136/OK089US0
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17. <input checked="" type="checkbox"/> The following fees are submitted:  <b>Basic National Fee (37 CFR 1.492 (a)(1)-(5)):</b> Search Report has been prepared by the EPO <input type="checkbox"/> or JPO <input type="checkbox"/> <span style="float:right">\$890.00</span>  International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... <span style="float:right">\$710.00</span>  No international preliminary examination fee paid to USPTO (37 CFR 4.482) but international search fee paid to USPTO (37 CFR 1.445 (a) (2))... <span style="float:right">\$740.00</span>  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... <span style="float:right">\$1,040.00</span>  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).... <span style="float:right">\$100.00</span>	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">CALCULATIONS</td> <td style="width:50%;">PTO USE ONLY</td> </tr> <tr> <td colspan="2" style="height: 150px;"></td> </tr> </table>	CALCULATIONS	PTO USE ONLY		
CALCULATIONS	PTO USE ONLY				

ENTER APPROPRIATE BASIC FEE AMOUNT				\$1,040.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	20-20		X \$18.00	\$00	
Independent Claims	8-3		X \$80.00	\$400.00	
Multiple dependent claims(s) (if applicable) + 270				\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,440.00</b>	
Reduction by 1/2 for filing by small entity, if applicable.				\$720.00	
<b>SUBTOTAL =</b>				<b>\$720.00</b>	
Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 39 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				<b>\$720.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). the assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$40.00	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$760.00</b>	
				Amount to be refunded	\$
				charged:	\$

a. ☒ A check in the amount of **\$760.00** to cover the above fees is enclosed.

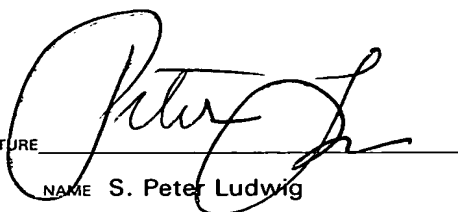
b. ☐ Please charge my Deposit Account No.04-0100 in the amount of \$ to cover the above fees.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-0100. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

S. Peter Ludwig  
 Darby & Darby P.C.  
 805 Third Avenue  
 New York, New York 10022-7513

  
 SIGNATURE  
 NAME S. Peter Ludwig  
 REGISTRATION NO. 25,351